Atty. Docket No.: 30287-95

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Applica	tion of:)		
	Steven MOORE et al.)	Art Unit: \	Jnknown
Serial No.:	Unknown)	Examiner:	Unknown
Filed:	July 31, 2003)		
For:	SAMPLING CIRCUIT APPARATUS AND METHOD))))		

San Diego, California July 31, 2003

MS PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir or Madam:

In accordance with 37 C.F.R. § 1.56, Applicant makes the below-listed documents of record in the above-identified application. In accordance with 37 C.F.R. § 1.97, this statement is not to be construed as a representation that a search has been made, and is not a representation that the information cited is effective as prior art to the application or is material to patentability.

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence and anything being referred to as enclosed herein is being deposited with the United States Postal Service via "Express Mail Post Office to Addressee" Label No. EV 292297574 US, with sufficient postage in an envelope addressed to MS PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 31st day of July 2003.

Signature:

Joyce Voge

U.S. Patent Documents

- 1. U.S. Patent No. 3,678,204 to Henning F. Harmuth, issued July 18, 1972;
- 2. U.S. Patent No. 3,728,632 to Gerald F. Ross, issued April 17, 1973;
- 3. U.S. Patent No. 4,447,747 to David P. LaPotin, issued May 8, 1984;
- 4. U.S. Patent No. 4,641,317 to Larry W. Fullerton, issued February 3, 1987;
- 5. U.S. Patent No. 4,782,324 to Marcos A. Underwood, issued November 1, 1988;
- 6. U.S. Patent No. 4,979,186 to Larry W. Fullerton, issued December 18, 1990;
- 7. U.S. Patent No. 5,115,409 to Richard Stepp, issued May 19, 1992;
- 8. U.S. Patent No. 5,146,616 to Raymond Tang et al., issued September 8, 1992;
- 9. U.S. Patent No. 5,157,559 to Jefferey A. Gleason et al., issued October 20, 1992;
- 10. U.S. Patent No. 5,363,108 to Larry W. Fullerton, issued November 8, 1994;
- 11. U.S. Patent No. 5,515,014 to Dennis L. Troutman, issued May 7, 1996;
- 12. U.S. Patent No. 5,574,755 to Charles J. Persico, issued November 12, 1996;
- 13. U.S. Patent No. 5,587,687 to William J. Adams, issued December 24, 1996;
- 14. U.S. Patent No. 5,635,863 to John J. Price, Jr., issued June 3, 1997;
- 15. U.S. Patent No. 5,677,927 to Larry W. Fullerton et al., issued October 14, 1997;
- 16. U.S. Patent No. 5,687,169 to Larry W. Fullerton, issued November 11, 1997;
- 17. U.S. Patent No. 5,687,200 to Gerald M. Berger, issued November 11, 1997;
- 18. U.S. Patent No. 5,708,377 to Donald A. Bradley, issued January 13, 1998;
- 19. U.S. Patent No. 5,714,954 to Herman Chung et al., issued February 3, 1998;
- 20. U.S. Patent No. 5,768,700 to Jaime E. Kardontchik, issued June 16, 1998;

- 21. U.S. Patent No. 5,847,623 to Aristotle Hadjichristos, issued December 8, 1998;
- 22. U.S. Patent No. 5,872,446 to Hayden C. Cranford, Jr. et al., issued February 16, 1999;
- 23. U.S. Patent No. 5,900,747 to Herbert Brauns, issued May 4, 1999;
- 24. U.S. Patent No. 5,986,501 to Hamid Rafati, issued November 16, 1999;
- 25. U.S. Patent No. 6,026,125 to J. Frederick Larrick, Jr. et al., issued February 15, 2000;
- 26. U.S. Patent No. 6,031,862 to Larry W. Fullerton et al., issued February 29, 2000;
- 27. U.S. Patent No. 6,043,943 to Francesco Rezzi et al., issued March 28, 2000;
- 28. U.S. Patent No. 6,054,889 to Kevin W. Kobayashi, issued April 25, 2000;
- 29. U.S. Patent No. 6,061,551 to David F. Sorrells et al., issued May 9, 2000;
- 30. U.S. Patent No. 6,078,277 to Eric Cheng et al., issued June 20, 2000;
- 31. U.S. Patent No. 6,087,871 to Alexei F. Kardo-Syssoev et al., issued July 11, 2000;
- 32. U.S. Patent No. 6,118,339 to Charles R. Gentzler, issued September 12, 2000;
- 33. U.S. Patent No. 6,178,217 B1 to Anthony Defries et al., issued January 23, 2001;
- 34. U.S. Patent No. 6,6,281,822 B1 to Edwin C. Park, issued August 28, 2001;
- 35. U.S. Patent No. 6,351,652 B1 to James S. Finn et al., issued February 26, 2002;
- 36. U.S. Patent No. 6,356,224 B1 to Paul D. Wohlfarth, issued March 12, 2002;
- 37. U.S. Patent No. 6,433,720 B1 to Joel M. Libove, issued August 13, 2002;
- 38. U.S. Patent No. 6,539,213 B1 to James L. Richards et al., issued March 25, 2003;
- 39. U.S. Patent No. 6,580,902 B1 to David F. Sorrells et al., issued June 17, 2003;

- 40. U.S. Patent Application No. 2001/0019313 A1 to Takeshi Takahashi, published September 6, 2001;
- 41. U.S. Patent Application No. 2003/0048212 A1 to Joel M. Libove et al., published March 13, 2003;

Foreign Patent Documents

42. WO 01/93434 A2 to Martin Rofheart et al., issued December 6, 2001;

Other Documents

- 43. FERNANDO RAMIREZ-MIRELES et al., "N-Orthogonal Time-Shift-Modulated Codes for Impulse Radio, " Communication Sciences Institute, Department of Electrical Engineering-Systems, University of Southern California, Los Angeles, CA 90089-2565 USA, Pages 1-4;
- 44. FERNANDO RAMIREZ-MIRELES, "On Performance of Ultra Wideband Signals in Gaussian Noise and Dense Multipath," Paper 99C265, Accepted for Publication in the IEEE Transactions on Vehicular Technology, Pages 1-9;
- 45. HENNING F. HARMUTH, "Fundamental Limits for Radio Signals With Large Bandwidth," IEEE Transactions on Electromagnetic Compatibility, Vol. EMC-23, No. 1, February 1981, Pages 37-43;
- 46. HENNING F. HARMUTH, "Frequency-Sharing and Spread-Spectrum Transmission with Large Relative Bandwidth," IEEE Transactions on Electromagnetic Compatibility, Vol. EMC-20, No. 1, February 1978, Pages 232-239;
- 47. HENNING F. HARMUTH, "Applications of Walsh functions in communications," IEEE Spectrum, November 1969, Pages 82-91;
- 48. AETHER WIRE & LOCATION, INC., ROBERT FLEMING et al., "Integrated, Low-Power, Ultra-Wideband Tranceivers for Distributed Position Location and Communication," Technical Abstracts of Proposal to Defense Advanced Research Projects Agency (DARPA), Part I Technical Proposal, 1998, Pages 1-52; and

49. AETHER WIRE & LOCATION, INC., ROBERT FLEMING et al., "Low-Power, Miniature, Distributed Position Location and Communication Devices Using Ultra-Wideband, Nonsinusoidal Communication Technology," Semi-Annual Technical Report Prepared for: ARPA/FBI, July 1995, Pages 1-40.

* * *

Copies of the above-listed documents are listed on the accompanying Form PTO/SB/08A, and are enclosed.

It is respectfully requested that these documents be (1) fully considered by the U.S. Patent and Trademark Office in the examination of the above-identified application; and (2) printed on any patent that may issue on this application. It is also respectfully requested that a copy of Form PTO/SB/08A, as considered and initialed by the Examiner, be returned to the undersigned with the next communication in accordance with M.P.E.P. § 609.

This Information Disclosure Statement is filed before the mailing of a first Office Action, pursuant to 37 C.F.R. §1.97(b). Therefore, it is believed that no fee is required in connection with this submission. In the event that a fee is required, please charge our Deposit Account No. 50-2298, in the name of Luce, Forward, Hamilton & Scripps LLP.

Respectfully submitted,

July 3/, 2003

Peter R. Martinez

Áttorney for Applicant(s)

Reg. No. 42,845

c/o LUCE, FORWARD, HAMILTON

& SCRIPPS LLP

11988 El Camino Real, Ste. 200

San Diego, California 92130

Telephone No.: (858) 720-6300

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB

Complete if Known Substitute for form 1449A/PTO TBD **Application Number** INFORMATION DISCLOSURE Filing Date 07/31/03 STATEMENT BY APPLICANT Steven MOORE et al. First Named Inventor Art Unit

(use as many sheets as necessary)

Sheet of 4

Examiner Name	TBD
Attorney Docket Number	30287-95

		U.S. PAT	ENT DOCUMENTS	
Examiner Initials	 Document Number Number - Kind Code ² (if known	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	US- 3,678,204	07/18/1972	HARMUTH	
	US- 3,728,632	04/17/1973	ROSS	
	US- 4,447,747	05/08/1984	LAPOTIN	
	US- 4,641,317	02/03/1987	FULLERTON	
	us- 4,782,324	11/01/1988	UNDERWOOD	
	US- 4,979,186	12/18/1990	FULLERTON	
	US- 5,115,409	05/19/1992	STEPP	
	US- 5,146,616	09/08/1992	TANG ET AL.	
	US- 5,157,559	10/20/1992	GLEASON ET AL.	
	US- 5,362,108	11/08/1994	FULLERTON	
	US- 5,515,014	05/07/1996	TROUTMAN	
	US- 5,574,755	11/12/1996	PERSICO	
	US- 5,587,687	12/24/1996	ADAMS	
	 us- 5,635,863	06/03/1997	PRICE, JR.	
	US- 5,677,927	10/14/1997	FULLERTON ET AL.	
	 us- 5,687,169	11/11/1997	FULLERTON	
	 US- 5,687,200	11/11/1997	BERGER	
	US- 5,708,377	01/13/1998	BRADLEY	
	us- 5,714,954	02/03/1998	CHUNG ET AL.	
***************************************	 US- 5,768,700_	06/16/1998	KARDONTCHIK	

	FORE	IGN PATENT D	OCUMENTS		
Examiner Initials	Foreign Patent Document Country Code 3 - Number 4 - Kind Code 5 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T€
	 WO 01/93434 A2	12/06/2001	Martin ROFHEART et al.		

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0551-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB

Substitute	Substitute for form 1449A/PTO INFORMATION DISCLOSURE			C mpl te if Known		
				Application Number	TBD	
INFO	RMATION	וט	SCLOSURE	Filing Date	07/31/03	
STAT	STATEMENT BY APPLICANT		First Named Inventor	Steven MOORE et al.		
	(use as many sheets as necessary)			Art Unit	TBD	
				Examiner Name	TBD	
Sheet	2	of	4	Attorney Docket Number	30287-95	

		U.S. PAT	ENT DOCUMENTS	
Examiner Initials	Cite No. 1 Number - Kind Code 2 (if known	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	US- 5,847,623	12/08/1998	HADJICHRISTOS	
	us- 5,872,446	02/16/1999	CRANFORD, JR. ET AL.	
	US- 5,900,747	05/04/1999	BRAUNS	
	US- 5,986,501	11/16/1999	RAFATI ET AL.	
	us- 6,026,125	02/15/2000	LARRICK, JR. ET AL.	
	US- 6,031,862	02/29/2000	FULLERTON ET AL.)
	us- 6,043,943	03/28/2000	REZZI ET AL.	
	us- 6,054,889	04/25/2000	KOBAYASHI	
	US- 6,061,551	05/09/2000	SORRELLS ET AL.	
	US- 6,078,277	06/20/2000	CHENG ET AL.	
	US- 6,087,871	07/11/2000	KARDO-SYSSOEV ET AL.	
	US- 6,118,339	09/12/2000	GENTZLER ET AL.	
, , , , , , , , , , , , , , , , , , , ,	US- 6,178,217 B1	01/23/2001	DEFRIES ET AL.	
	US- 6,281,822 B1	08/28/2001	PARK	
	US- 6,351,652 B1	02/26/2002	FINN ET AL.	
	us- 6,356,224 B1	03/12/2002	WOHLFARTH	
	US- 6,433,720 B1	08/13/2002	LIBOVE ET AL.	
	US- 6,539,213 B1	03/25/2003	RICHARDS ET AL.	
	us- 6,580,902 B1	06/17/2003	SORRELLS ET AL.	
***************************************	US- 2001/0019313A1	09/02/2001	TAKAHASHI FT AI	

	 FORE	EIGN PATENT D	OCUMENTS		
Examiner Initials	Foreign Patent Document Country Code 3 - Number 4 - Kind Code 5 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т
		***************************************		***************************************	
					<u></u>
	 		***************************************		ļ
····					-
	 		***************************************	***************************************	
	 	***************************************	·	***************************************	<u> </u>
***************************************	 weeks to the state of the state	***************************************			ļ

Examiner	Date
Signature	Considered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



¹ Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. ontrol number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of 4 Sheet

Compl te if Known				
Application Number	TBD			
Filing Date	07/31/03			
First Named Inventor	Steven MOORE et al.			
Art Unit	TBD			
Examiner Name	TBD			
Attorney Docket Number	30287-95			

		U.S. PAT	ENT DOCUMENTS	
Examiner Initials	 Document Number Number - Kind Code 2 (if known	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
~~~~~	 US- 2003/0048212A1	03/13/2003	Libove et al.	
	 US-			
	 US-			
	 us-			
	 US-	***************************************		
	 US-	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~		
	 US-		· · · · · · · · · · · · · · · · · · ·	
- in a mark and the international designation of the internation of the internation of the internat	 US-	**************************************		
	 US-			
	 US-			
	US-			
	 US-			
	US-		THE CHARLES OF THE STREET STREET OF THE STREET STRE	
	us-			
	 US-	**************************************		

		FORE	IGN PATENT D	OCUMENTS		
Examiner Initials	Cite No. ¹	Foreign Patent Document  Country Code 3 - Number 4 - Kind Code 5 (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
						_
			***************************************			_

Examiner	Date	
Signature	Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB

Substitute for form 1449B/PTO				Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	TBD	
				Filing Date	07/31/03	
				First Named Inventor	Steven MOORE et al.	
				Group Art Unit	TBD	
	(use as many she	ets a	s necessary)	Examiner Name	TBD	
Sheet	Sheet No. 4	of	4	Attorney Docket Number	30287-95	

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
		FERNANDO RAMIREZ-MIRELES, "On Performance of Ultra Wideband Signals in Gaussian Noise and Dense Multipath," Paper 99C265, Accepted for Publication in the IEEE Transactions on Vehicular Technology, Pages 1-9.		
		FERNANDO RAMIREZ-MIRELES et al., "N-Orthogonal Time-Shift-Modulated Codes for Impulse Radio, " Communication Sciences Institute, Department of Electrical Engineering-Systems, University of Southern California, Los Angeles, CA 90089-2565 USA, Pages 1-4.		
		HENNING F. HARMUTH, "Fundamental Limits for Radio Signals With Large Bandwidth," IEEE Transactions on Electromagnetic Compatibility, Vol. EMC-23, No. 1, February 1981, Pages 37-43.		
***************************************		HENNING F. HARMUTH, "Frequency-Sharing and Spread-Spectrum Transmission with Large Relative Bandwidth," IEEE Transactions on Electromagnetic Compatibility, Vol. EMC-20, No. 1, February 1978, Pages 232-239.		
		HENNING F. HARMUTH, "Applications of Walsh functions in communications," IEEE Spectrum, November 1969, Pages 82-91.		
		AETHER WIRE & LOCATION, INC., ROBERT FLEMING et al., "Integrated, Low-Power, Ultra-Wideband Tranceivers for Distributed Position Location and Communication," Technical Abstracts of Proposal to Defense Advanced Research Projects Agency (DARPA), Part I - Technical Proposal, 1998, Pages 1-52.		
		AETHER WIRE & LOCATION, INC., ROBERT FLEMING et al., "Low-Power, Miniature, Distributed Position Location and Communication Devices Using Ultra-Wideband, Nonsinusoidal Communication Technology," Semi-Annual Technical Report Prepared for: ARPA/FBI, July 1995, Pages 1-40.		
Andread and the second				

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.